

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Kurt Stouffer Lehmann, et al.

Appln. No.:

Filed: concurrently herewith

For: ACTIVE ROLLOVER
PROTECTION

Attorney Docket No: 10543-070

Examiner: Unknown

Art Unit:

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant cites the following references (each listed for the Examiner's convenience on the enclosed Form PTO-1449):

| No. | Date of Publication | Patentee/Applicant/Assignee |
|-----------------|---------------------|-----------------------------|
| 2002/0139599 A1 | 10/03/02 | Lu et al. |
| 2003/0065430 A1 | 04/03/03 | Lu et al. |
| 2003/0100979 A1 | 05/29/03 | Lu et al. |
| 2003/0130775 A1 | 06/10/03 | Lu et al. |
| 2003/0130778 A1 | 07/10/03 | Hrovat et al. |
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| 5,787,375 | 07/28/98 | Madau et al. |
| 5,790,966 | 08/04/98 | Madau et al. |
| 5,809,434 | 09/15/98 | Ashrafi et al. |
| 5,852,787 | 12/22/98 | Fodor et al. |
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| 6,122,568 | 09/19/00 | Madau et al. |
| 6,158,274 | 12/12/00 | Guo et al. |
| 6,169,939 | 01/02/01 | Raad et al. |
| 6,220,095 | 04/24/01 | Fennel et al. |
| 6,233,505 | 05/15/01 | Kranz et al. |
| 6,249,721 | 06/19/01 | Lohberg et al. |
| 6,263,261 | 07/17/01 | Brown et al. |
| 6,282,474 | 08/28/01 | Chou et al. |
| 6,324,446 | 11/27/01 | Brown et al. |
| 6,327,526 | 12/04/01 | Hagan |
| 6,330,496 | 12/11/01 | Latarnik et al. |

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| WO 02/100696 A1 | 19 Dec 2002 | Continental Teves AG & Co. |
| WO 02/36401 A1 | 10 Mar 2002 | Continental Teves AG & Co. |
| WO 03/002392 A1 | 9 Jan 2003 | Continental Teves AG & Co. |

A copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2) is also enclosed. Applicant respectfully solicits the Examiner's consideration of the listed references and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Commissioner is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

3/23/04

Date

Michael N. Spink

Michael N. Spink (Reg. No. 47,107)
Attorney/Agent for Applicant

Enclosures: Form PTO-1449 (two sheets)
Copies of foreign listed references

| | | |
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| FORM PTO-1449 | APPLICATION NO. | CASE NO. 10543-070 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | FILING DATE Concurrently herewith | ART UNIT |
| APPLICANT(S): Kurt S. Lehmann et al. | | |

REFERENCE DESIGNATION
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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